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IN THE PATENT AND TRADEMARK OFFICE

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| <b>In Re Patent Application Of:</b><br><br>Howard GARBER<br><br><b>Patent No.:</b> 5,963,923<br><br><b>Issue Date:</b> October 5, 1999<br><br><b>For:</b> SYSTEM AND METHOD FOR<br>TRADING HAVING A<br>PRINCIPAL MARKET MAKER | <b>CERTIFICATE OF EXPRESS MAILING</b><br><br>I hereby certify that this correspondence and identified enclosures are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on <u>OCTOBER 16, 2006</u> Express Mail Label No. <u>EB 400504865 US</u><br>by <u>Howard Garber</u> |
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Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certificate**  
OCT 20 2006  
**of Correction**

TRANSMITTAL LETTER


Dear Sir/Madam:

Attached are the following:

- 1) Request for Certificate of Correction of Patent for Applicant's Mistake;
- 2) Certificate of Correction;
- 3) Check in the amount of \$100.00; and
- 4) Return Postcard. (USPS ADD'L. R.R. w/ABOVE)

Respectfully submitted,

Date: October 16, 2006

  
HOWARD B. GARBER  
Patentee

2305 N. Commonwealth Ave.  
Chicago, IL 60614